

Docket No.: 9668-C2-03-DCL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Dennis George Anthony Nelson

Serial No.: 10/630,526

Group Art Unit: 1614

Filed: July 30, 2003

Examiner: Not yet assigned

For: COMPOSITIONS COMPRISING A POTASSIUM SALT ACTIVE INGREDIENT,
INCLUDING ORAL COMPOSITIONS FOR REDUCING DENTAL NERVE AND
SENSITIVITY COMPRISING A NON-MENTHOL FLAVORING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R. §§ 1.98 (a) (1) and 1.98 (b), as well as references previously listed as part of the Information Disclosure Statement in the parent cases (8 sheets of references). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. SECTION 1.8

I hereby certify that this correspondence and any documents referred to as enclosed are being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 26th day of January, 2004.

By: Christine Cicera
Christine Cicera

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98 (a) (2), unless otherwise indicated herein, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

The following are also included, if applicable, and as required under 37 C.F.R. § 1.98:

- ___ In accordance with 37 C.F.R. § 1.98 (a) (3), the following concise explanation of the relevance of each listed reference that is not in the English language and is not accompanied by a translation into English or discussed in the present specification, is provided.
- ___ The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (c), they are substantially cumulative of the ___ reference:
- ___ The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (d), they were previously cited by or submitted to the Office in the ___ prior application, which is relied upon for an earlier filing date under 35 U.S.C. 120.

The certification specified in 37 C.F.R. § 1.97 (e), if required, is made below:

- ___ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (1).
- ___ I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (2).

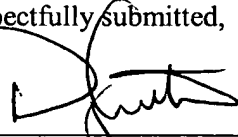
Please credit any overpayment or charge any additional fees to Deposit Account No.:
23-0458.

Date:

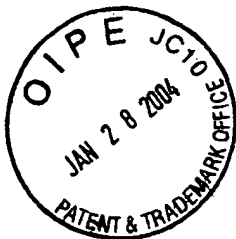
1/26/04

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Respectfully submitted,



Darryl C. Little
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Attorney For Applicant

Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF (Rev. 2-32) COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	9668-C2-03-DCL	10/630,526
	APPLICANT Dennis Nelson	
	FILING DATE July 30, 2003	GROUP 1614

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 7 5 6 0 7 4	5/26/98	Ascione et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	WO	9	3	0	4	6	6	3	3/18/93	PCT				
	WO	9	4	2	1	7	7	0	12/29/94	PCT				
	WO	9	4	2	1	7	6	8	12/29/94	PCT				
	WO	9	4	1	2	5	9	9	6/9/94	PCT				
	WO	9	4	2	1	7	6	7	12/29/94	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ANY COPIES OF DOCUMENTS LISTED ON THIS FORM PTO-1449 SHOULD HAVE CERTAIN INFORMATION PLACED ALONG THE LEFT SIDE OF THE DOCUMENT. INFORMATION SUCH AS DOCKET NUMBER, FILING DATE, SERIAL NUMBER, ART UNIT, ETC.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) <div style="display: flex; justify-content: space-between;"> <div style="text-align: left;"> OFFICE APR 17 2000 <small>PATENT & TRADEMARK OFFICE</small> </div> <div style="text-align: center;"> PIPE JAN 28 2004 <small>PATENT & TRADEMARK OFFICE</small> </div> </div>										ATTY. DOCKET NO. PC9668B										SERIAL NO. 09/503,431									
APPLICANT Dennis G. A. Nelson																													
FILING DATE February 14, 2000										GROUP																			
U.S. PATENT DOCUMENTS																													
DOCUMENT NUMBER										DATE		NAME		CLASS		SUBCLASS		FILING DATE IF APPROPRIATE											
SKR	1.	3	6	2	7	8	2	2	12/14/71	B. Sundby																			
1	2.	3	8	6	3	0	0	6	01/28/75	M. Hodosh																			
	3.	3	9	2	9	5	7	9	12/30/75	Y. Yoshimura et al.																			
	4.	3	9	8	5	8	6	9	10/12/76	Y. Yoshimura et al.																			
	5.	4	0	5	7	6	2	1	11/08/77	D.H. Pashley et al.																			
	6.	4	2	8	3	3	8	5	08/11/81	D. J. Dhabbar et al.																			
	7.	4	3	4	6	4	9	3	08/31/82	J. H. Goudsmit																			
	8.	4	3	5	7	3	1	8	11/02/82	N. B. Shah et al.																			
	9.	4	4	0	0	3	7	3	08/23/83	M. Hodosh																			
	10.	4	4	3	2	1	1	4	02/21/84	J. H. Goudsmit																			
	SKR	11.	4	5	8	1	2	9	2	04/08/86	S. Shinpo et al.																		
FOREIGN PATENT DOCUMENTS																													
DOCUMENT NUMBER										DATE		COUNTRY		CLASS		SUBCLASS		TRANSLATION											
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)																													
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Conforms with FORM PTO-FB-A820

INFORMATION DISCLOSURE

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) 2 of 7				ATTY. DOCKET NO. PC9668B				SERIAL NO. 09/503,431					
APPLICANT Dennis G. A. Nelson								FILING DATE February 14, 2000				GROUP	
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE							
<i>SK</i>	12. 4 6 1 8 4 8 8	10/21/86	T. Maeyama <u>et al.</u>										
	13. 4 6 3 1 1 8 5	12/23/86	S. Kim										
	14. 4 6 4 5 6 6 2	02/24/87	S. Nakashima <u>et al.</u>										
	15. 4 7 5 1 0 7 2	06/14/88	S. Kim										
	16. 4 7 5 6 9 0 3	07/12/88	S. Shinpo <u>et al.</u>										
	17. 4 9 3 3 1 7 1	06/12/90	N. J. Bristow <u>et al.</u>										
	18. 4 9 9 2 2 5 8	02/12/91	S. C. Mason										
	19. 5 0 1 5 4 6 6	05/14/91	J. J. Parran, Jr. <u>et al.</u>										
	20. 5 0 1 5 4 6 7	05/14/91	H. C. Smitherman										
	21. 5 0 8 7 4 4 4	02/11/92	R. J. Jackson <u>et al.</u>										
	22. 5 0 9 8 7 1 1	03/24/92	I. Hill <u>et al.</u>										
FOREIGN PATENT DOCUMENTS													
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION								
					YES	NO							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
EXAMINER <i>Step Ron</i>													
DATE CONSIDERED <i>8/7/01</i>													
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4 of 7

ATTY. DOCKET NO. PC9668B

SERIAL NO. 09/503,431

APPLICANT Dennis G. A. Nelson

FILING DATE February 14, 2000

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SM	34. 5 2 5 2 5 7 7	10/12/93	M. M. Breuer et al.			
	35. 5 2 5 6 4 0 2	10/26/93	M. Principe et al.			
	36. 5 2 5 8 1 7 3	11/02/93	P. C. Waterfield			
	37. 5 2 7 0 0 3 1	12/14/93	R. M. Lim et al.			
	38. 5 2 7 5 8 0 5	01/04/94	N. Nabi et al.			
	39. 5 2 8 4 6 4 8	02/08/94	R. D. White			
	40. 5 2 9 6 2 1 5	03/22/94	M. R. Burke et al.			
	41. 5 3 1 0 5 4 2	05/10/94	V. Au et al.			
	42. 5 3 2 8 6 8 2	07/12/94	J. H. Pullen et al.			
	43. 5 3 5 2 4 3 9	10/04/94	J. Norfleet et al.			
SR	44. 5 3 6 2 4 8 0	11/08/94	V. Au et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

8/7/01

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) 5 of 7		ATTY. DOCKET NO. PC9668B				SERIAL NO. 09/503,431			
		APPLICANT Dennis G. A. Nelson							
		FILING DATE February 14, 2000				GROUP			

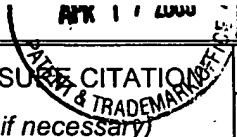
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Sfr	45.	5	3	7	4	4	1	7	12/20/94	J. Norfleet et al.			
	46.	5	4	0	3	5	7	7	04/04/95	M. Friedman			
	47.	5	4	0	9	9	0	2	04/25/95	R. G. Carson et al.			
	48.	5	4	1	6	0	7	5	05/16/95	R. G. Carson et al.			
	49.	5	4	3	7	8	5	8	08/01/95	H. Hungerbach et al.			
	50.	5	4	3	8	0	7	6	08/01/95	M. Friedman et al.			
	51.	5	4	4	9	5	0	9	09/12/95	R. J. Jackson et al.			
	52.	5	4	5	1	4	0	1	09/19/95	K. W. Zerby et al.			
	53.	5	4	8	6	3	5	0	01/23/96	J. Norfleet et al.			
	54.	5	4	8	7	9	0	6	01/30/96	N. Dixit et al.			
Sfr	55.	5	4	9	0	9	7	8	02/13/96	S. M. Spaltro et al.			

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DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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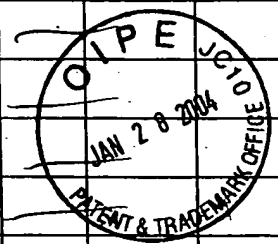
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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) 6 of 7	ATTY. DOCKET NO. PC9668B	SERIAL NO. 09/503,431
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Skr	56.	5	5	0	0	4	4	8	03/19/96	D. Cummins et al.			
	57.	5	5	0	3	8	2	3	04/02/96	J. Norfleet et al.			
	58.	5	5	0	5	9	3	3	04/09/96	J. Norfleet et al.			
	59.	5	5	2	2	7	2	6	06/04/96	M. Hodosh			
	60.	5	5	3	4	2	4	3	07/09/96	C. Dixon, Jr. et al.			
	61.	5	5	3	4	2	4	4	07/09/96	M. S. Tung			
	62.	5	5	6	0	9	0	5	10/01/96	M. F. Lukacovic			
	63.	5	5	6	5	1	9	0	10/15/96	J. Santalucia et al.			
	64.	5	5	7	1	5	0	1	11/05/96	A. Toy			
	65.	5	5	7	8	2	9	3	11/26/96	M. Prencipe et al.			
	66.	5	5	7	8	2	9	4	11/26/96	M. F. Lukacovic			



FOREIGN PATENT DOCUMENTS

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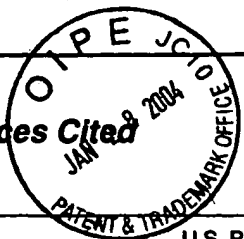
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	<i>Skr</i>	DATE CONSIDERED	8/7/01
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Notice of References Cited



Application No.

09/503831

Applicant(s)

NELSON (GALLOPO)

Examiner

STEP ROSE

Group Art Unit

1614

Page ____ of ____

U.S. PATENT DOCUMENTS

* A	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5 188 822	2/93	VICCARO <i>del</i>	424	52
B	5 695 745	12/97	BARTON <i>del</i>	424	49
C	5 338 538	8/94	TRICCA <i>del</i>	424	57
D	5 681 549	10/97	McLAUGHLIN <i>del</i>	424	54
E	5 686 063	11/97	McLAUGHLIN <i>del</i>	424	54
F	5 827 505	10/98	HUGAT <i>del</i>	424	49
G	6 004 538	12/99	HUGAT <i>del</i>	424	49
H	6 193 958	2/01	EDWARDS <i>del</i>	424	49
I	4 657 758	4/87	GOLDENBERG <i>del</i>	424	47
J	5 643 183	8/91	GERSHON <i>del</i>	424	52
K	5 229 103	7/93	STALB <i>del</i>	424	49
L					
M					

ABSTRACTS OF :

FOREIGN PATENT DOCUMENTS

* N	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N	1040 819 A2	10/00	EP	GALLOPO + NELSON		
O	94 085 59 A1	4/94	WO/PCT	BARTON <i>del</i>		
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS

* U	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U	<i>applicant's copy</i>	
V		
W		
X		

* A copy of this reference is not being furnished with this Office action.
(See Manual of Patent Examining Procedure, Section 707.05(a).)